

## FORMALITIES LETTER



\*OC000000004981628\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/476,521	01/03/2000	Herbert Gropp	RUM212

Horst M. Kasper  
13 Forest Drive  
Warren, NJ 07059

Date Mailed: 03/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

*m. Middleton*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05-09-00

GAU 3682

MAY 8 2000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert Gropp et al.  
 Serial No: 09/476,521 Art Unit: 3682  
 Filing Date: January 3, 2000  
 Title: BUILT-UP CAMSHAFT Examiner:

May 3, 2000

Rum212T4

TRANSMITTAL LETTER

BOX: Initial Patent Examination Division Customer Service Center  
 Hon. Commissioner of Patents and Trademarks  
 Washington, D.C. 20231

SIR:

Transmitted herewith for filing is:

- <X> Response to the Notice of Incomplete Reply (Nonprovisional) dated May 3, 2000 (3 pages)
- <X> Copy of the Notice of Incomplete Reply (Nonprovisional) mailed April 14, 2000
- <X> Copy of the Response to the Notice to file Missing Parts Of Nonprovisional Application Filed under 37 C.F.R § 1.53(b) dated 04-07-00 (3 pages)

( ) Enclosed is a check to cover the fee in the amount of \$\_\_\_\_\_.

(X) The applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to any Office Action outstanding in the above captioned matter as necessary to avoid abandonment of the application. Please charge my deposit account No.11-0224 in the amount required to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above account.

(X) The Commissioner is hereby authorized to charge any fees under 35 U.S.C. 1.16, and 1.17, after a mailing of a Notice of Allowance under 35 USC 1.18 or any additional fees which may be required during the entire pendency of the application, or credit any overpayment, to Acct. No.11-0224. A duplicate copy of this sheet is enclosed. If and only if account funds should be insufficient, immediately contact our associate, Lisa Zumwalt, at (703)415-0579, who will pay immediately to avoid deprivation of rights.

( ) Please charge my Deposit Account No.11-0224 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A signature or signatures required for the above recited document(s) is (are) provided herebelow. Such signature(s) also provide(s) ratification for any required signature appearing to be defective in the above recited document(s).

05/30/2000 DTN:MS 00000015 110224 09476521

01 FC:205

65.00 CH

Horst M. Kasper, 13 Forest Drive, Warren, N.J. 07059  
 Reg. No. 28,559 Tel. (908) 757-2839

Express Mail Certification:

I hereby certify that this correspondence is being deposited with Express Mail Post Office to Addressee in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231,

on.....08 MAY 2000....."Express Mail Mailing Label No": TB.173616971 US.....

Signature.....Radox.....Kasper..... Date.....08 MAY 2000.....  
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RECEIVED  
 MAY 10 2000  
 103600 MAIL ROOM

## FILING RECEIPT



\*OC000000004981627\*



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/476,521	01/03/2000	3682	345	RUM212	1	5	1

Horst M. Kasper  
13 Forest Drive  
Warren, NJ 07059

Date Mailed: 03/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Herbert Gropp, Chemnitz, GERMANY ;  
Dietmar Klose, Chemnitz, GERMANY ;  
Claus-Peter ~~Durlich~~, Residence Not Provided, ZWICKAU, GERMANY  
Manfred Janssen, Werdau, GERMANY ;

~~DURLICH~~

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/016,597 01/30/1998 ABN

## Foreign Applications

GERMANY 197 03 260.3 01/30/1997

## Foreign filing license granted on 03/03/2000

## Title

Built-up camshaft

SMALL ENTITY

## Preliminary Class

074

Data entry by : MIDDLETON, MATTIE

Team : OIPE

Date: 03/10/2000



COPY



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Herbert Gropp et al.

**Serial No:** 09/476,521

**Art Unit:** 3682

**Filing Date:** January 3, 2000

**Title:** BUILT-UP CAMSHAFT

**Examiner:**

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April 7, 2000

RUM212R3

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**BOX: Initial Patent Examination Division  
Customer Service Center**

**SIR:**

This is in response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) mailed March 10, 2000 and stating that the oath or declaration is unsigned and that a late fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$ 65.00 for a small entity in compliance with 37 CFR 1.27, must be submitted.

The applicants respectfully submit that the present application is a continuation application under 37 CFR 1.60

of another US patent application, Serial Number: 09/016,597, filing date: January 30, 1998, where the Declaration and Power of Attorney for Patent Application - German Language Declaration (7 pages) duly executed by all the four inventors were filed in said prior patent application (37 C.F.R. §1.63(d)).

Furthermore, the applicants have submitted a copy of the aforementioned German Language Declaration (7 pages) with the Request for Filing a Continuation Application under 37 CFR 1.60 filed with the United States Patent and Trademark Office on January 3, 2000, where the first inventor's (Herbert Gropp) signature was placed on page 5 of the German Language Declaration, the second (Dietmar Klose) and third inventor's (Claus-Peter Durlich) signatures were placed on page 6 of the German Language Declaration, and the fourth inventor's (Manfred Janssen) signature was placed on page 7 of the German Language Declaration.

The applicants are submitting once again a copy of the Declaration and Power of Attorney for Patent Application - German Language Declaration (7 pages) as filed in prior application, Serial No. 09/016,597, which was submitted to the U.S. Patent and Trademark Office on January 3, 2000.


It is believed that the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) was issued due to an error of the U.S. Patent and Trademark Office. Consequently, it is believed that neither a newly executed oath or declaration nor a late fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$ 65.00 are required.

A copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) mailed March 10, 2000, is enclosed.

Respectfully submitted,

Herbert Gropp et al.

By:

  
\_\_\_\_\_  
Horst M. Kasper, their attorney  
13 Forest Drive, Warren, N.J. 07059  
Tel. (908) 757-2839; Reg. No. 28,559  
Attorney's Docket No.: RUM212

\\*%pt1:ofcorr(RUM212R3(April 7, 2000(rk-tz/tm/whr



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert Gropp et al.

Serial No: 09/476,521

Art Unit: 3682

Filing Date: January 3, 2000

Title: BUILT-UP CAMSHAFT

Examiner:

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April 5, 2000

RUM212R2

REQUEST FOR CORRECTION OF ERROR IN THE FILING RECEIPT

Box: MISSING PARTS

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SIR:

It has come to the attention of the undersigned attorney that the Filing Receipt contains some errors.

The Filing Receipt states the name of the third applicant as follows:

Claus-Peter Durllich

The applicants respectfully submit that the name of the third applicant should read as follows:

Claus-Peter Durlich

Furthermore, according to the Filing Receipt the residence of the third applicant, Claus-Peter Durlich, has not been provided.

SN.: 09/476,521

RUM212R2

April 6, 2000

page 1

The applicants respectfully submit that the residence of the third inventor, has been indicated in the Declaration and Power of Attorney for Patent Application (German Language Declaration) filed in the prior patent application, Serial Number: 09/016,597, filing date: January 30, 1998, and where a copy of said German Language Declaration was attached to the Request for Filing a Continuation Application under 37 CFR 1.60 as filed with the United States Patent and Trademark Office on January 3, 2000.

Therefore, it is respectfully requested that the following data concerning the residence of the third applicant, Claus-Peter Durllich, be inserted in the Filing Receipt:

**ZWICKAU, GERMANY**

In addition, the Filing Receipt does not indicate a small entity status of the applicants.

Applicants respectfully submits that a verified statement to establish small entity status under 37 CFR 1.9 and 1.27 was filed in prior patent application, Serial Number: 09/016,597, filing date: January 30, 1998, and such status is still proper and desired (37 CFR 1.28(a)).

It is therefore respectfully requested that the



status of the applicants be corrected to read as follows:

**SMALL ENTITY**

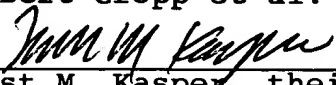
A copy of the Filing Receipt with the changes noted thereon in red is enclosed.

Correction of the Filing Receipt is respectfully requested.

Respectfully submitted,

Herbert Gropp et al.

By:

  
\_\_\_\_\_  
Horst M. Kasper, their attorney  
13 Forest Drive, Warren, N.J. 07059  
Tel. (908) 757-2839; Reg. No. 28,559  
Attorney's Docket No.: RUM212

\*%pt1:ofcorr(RUM212R2(April 6, 2000(rk-tz/tm/whr